

11/15/01  
35796 U.S. PTO

UTILITY PATENT APPLICATION TRANSFERRAL  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

11-23-01  
DOR  
8700

Attorney Docket No.: 3923-3

Inventors: Richard C. Duke of 934 S. Josephine Street, Denver, CO 80209  
Donald Bellgrau of Box 23122, Silverthorne, Colorado 80498  
Alex Franzusoff of 860 Ogden Street, Denver, Colorado 80218  
Cara C. Wilson of 966 Dogwood Drive, Golden, Colorado 80401

Express Mail Label No.: EL822580096

Title: "YEAST-DENDRITIC CELL VACCINES AND USES THEREOF"

1050 U.S. PTO  
09/991363  
11/15/01

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

This application claims priority from U.S. Provisional Patent Application No. 60/249,173, filed in November 15, 2000. The entire disclosure of the provisional application is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference. Enclosed for filing with the above-identified utility patent application are the following:

- 1 Applicant claims small entity status. See 37 CFR 1.27.
- 2 Specification (Total Pages of Text, including Abstract and Claims: 51)
- 3 Drawing(s) (35 USC 113) (Total Sheets: 21 Formal)
- 4 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. Computer Readable Copy
  - b. Paper Copy (identical to computer copy)
  - c. Attorney for applicants hereby asserts pursuant to 37 CFR § 1.821(f) that the content of the paper of computer readable copies of SEQ ID No:1 through SEQ ID No:1 submitted herewith are identical
- 5 Return Postcard (MPEP 503) (should be specifically itemized)

**FEE CALCULATION:**

	(COL. 1) NO. FILED				SMALL ENTITY			LARGE ENTITY	
					RATE	FEE		RATE	FEE
BASIC FEE:						\$370.00	OR		\$740.00
TOTAL CLAIMS:	28	-	20	8	X \$9 =	\$72.00	OR	X \$18 =	
INDEP. CLAIMS:	4	-	3	1	X \$42 =	\$42.00	OR	X \$84 =	
MULTIPLE DEPENDENT CLAIMS					+ \$140 =	\$	OR	+ \$280 =	
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "0" IN COL. 2.					TOTAL:	\$484.00			

**OTHER INFORMATION:**

1. **NO FEE IS ENCLOSED**
2. The Commissioner is hereby authorized to charge all required fees for extensions of time only under §1.17 to Deposit Account No. 19-1970.
3. Correspondence Address:

Angela K. Dallas  
SHERIDAN ROSS P.C.  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
Telephone: (303) 863-9700  
Facsimile: (303) 863-0223

4. Customer No:

**22442**

Respectfully Submitted,

SHERIDAN ROSS P.C.

*Angela Dallas*

Date: *November 15, 2001*

Angela K. Dallas  
Registration No. 42,460

09991363-41504  
F05T7" 03E7660